DEMOCRATIC REPUBLIC OF THE CONGO

KISANGANI · TSHOPO

BOYOMA GOLD REFINERY

FORM

Supplier / Customer Due Diligence Questionnaire.

OECD-aligned questionnaire for all suppliers and customers delivering raw gold to Boyoma Gold Refinery SA.

REFERENCE	VERSION	EFFECTIVE DATE	APPROVED BY
BGR-FRM-SCDD-001	1.0	November 2026	Board of Directors

Purpose

This questionnaire is designed for all suppliers and customers (individuals, cooperatives, traders, exporters or companies) delivering raw gold — alluvial gold, doré bars, concentrates or mined material — to Boyoma Gold Refinery SA.

It is aligned with the **OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas** (including the Supplement on Gold), the Company's Responsible Supply Chain Policy and relevant DRC regulations. Its purpose is to enable risk identification and assessment (OECD Step 2), support chain-of-custody traceability, and ensure no contribution to conflict, serious abuses, money laundering, bribery or support to non-state armed groups.

Instructions

- Complete all sections fully and accurately.
- Attach verifiable supporting documents: copies of licences, tax receipts, identity documents, chain-of-custody records.
- Any misrepresentation may result in immediate rejection of material, suspension of business or reporting to the authorities.
- Submit this questionnaire before or with each delivery, or annually for ongoing suppliers, with updates for material changes.
- For ASM suppliers: we encourage formalisation and may provide support where possible.

1 Supplier identification and legal status

1.1 Full legal name of supplier / company / cooperative / individual

1.2 Trading name (if different)

1.3 Type of entity

☐ Individual miner / ASM producer ☐ ASM cooperative ☐ Large-scale mining company

☐ Trader / aggregator / exporter ☐ Other: _____

1.4 Registered address / primary place of business (street, village/town, territory, province, country)

1.5 Contact details

PHONE	E-MAIL	WHATSAPP / OTHER

1.6 National ID / passport no. (individual) or business registration no. / RCCM (company, cooperative) — attach copy

1.7 Beneficial owners / key shareholders (≥ 10 %), including any political, military or government affiliations

NAME	% OWNERSHIP	POSITION / AFFILIATION

1.8 Has the supplier or any beneficial owner been listed on international sanctions lists (UN, EU, US OFAC, etc.)?

☐ Yes ☐ No — If yes, explain:

2 Gold details and origin (for this shipment / supply)

2.1 Description of gold material

FORM (ALLUVIAL FLAKES, DORÉ BARS, CONCENTRATES)	ESTIMATED WEIGHT (G / KG)
ESTIMATED PURITY / FINENESS	BATCH / REFERENCE NO.

2.2 Specific origin / mine site(s)

MINE NAME OR SITE LOCATION	GPS COORDINATES (IF KNOWN)
TERRITORY / PROVINCE	DATE(S) OF EXTRACTION / COLLECTION

2.3 Is the origin in a known conflict-affected and high-risk area (CAHRA)?

☐ Yes ☐ No ☐ Unsure — details:

_____

2.4 Mining method

☐ Alluvial / placer ☐ Underground / hard rock ☐ By-product of other minerals ☐ Other: _____

2.5 Licences / authorisations (attach copies)

MINING CARD / PERMIT / ASM AUTHORISATION NO.	COOPERATIVE REGISTRATION	EXPORT LICENCE (TRADER / EXPORTER)

3 Supply chain and chain of custody

3.1 Full transport route from origin to refinery (all legs, locations, dates, modes)

3.2 Intermediaries / other parties involved

NAME	ROLE	LOCATION

3.3 Any transshipment through other countries?

☐ Yes ☐ No — If yes, list:

3.4 Chain-of-custody documentation provided (tags, sealed bags, receipts, logs)?

☐ Yes ☐ No — Describe / attach:

3.5 Any red-flag indicators present (unusually large volume for the site, inconsistent purity, cash-only payments, rapid turnover, armed escort)?

☐ Yes ☐ No — If yes, explain:

4 Financial and payment transparency

4.1 Taxes, fees and royalties paid for this gold (amounts, recipients, dates — attach receipts)

4.2 Any payments to public security, private security or other forces?

☐ Yes ☐ No — If yes, amounts, purposes, recipients:

4.3 Payment method for this transaction

☐ Bank transfer ☐ Mobile money ☐ Cash ☐ Other: _____

Bank / account details (if applicable)

4.4 Does the supplier have AML/CFT policies or procedures?

☐ Yes (attach summary or policy) ☐ No

5 Risk and compliance self-assessment

5.1 Are there known serious abuses (torture, forced labour, worst forms of child labour, sexual violence, war crimes) linked to the mine, site or routes?

☐ Yes ☐ No — If yes, details:

5.2 Is there presence or control by non-state armed groups at the mine, routes or trade points?

☐ Yes ☐ No — If yes, describe (taxation, extortion, etc.):

5.3 Any bribery, fraudulent origin misrepresentation or money laundering concerns?

☐ Yes ☐ No — If yes, explain:

5.4 For ASM suppliers: steps taken toward formalisation or improving conditions (cooperative membership, no child labour measures, safety programmes)

5.5 Does the supplier have its own supply chain due diligence policy aligned with the OECD Guidance?

☐ Yes (attach copy) ☐ No

6 Declaration and consent

I/We declare that the information provided is complete, accurate and truthful to the best of my/our knowledge. I/We understand that Boyoma Gold Refinery SA will use this information for OECD-aligned due diligence, risk assessment and potential third-party audit. I/We consent to verification, on-site visits where feasible, and the sharing of non-confidential data with auditors or authorities as required. I/We commit to cooperate in risk mitigation if issues are identified.

SUPPLIER NAME	POSITION	SIGNATURE AND STAMP	DATE

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Received by	Date
Risk categorisation: ☐ Low ☐ Medium ☐ High	Red flags identified
Decision: ☐ Accept ☐ Suspend ☐ Reject ☐ Mitigation required	Notes / mitigation plan